



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on August 15, 2001.

  
Marilyn R. Khorsandi

Applicant : Abrahm, et al.  
Application No. : 09/866,544  
Filed : 5/25/2001  
Title : Apparatus, Systems and Methods for Transacting and Managing Like-Kind Exchanges  
Grp./Div. : 2164  
Examiner : Not yet assigned  
Docket No. : PELK0002/MRK

LETTER IN RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

140 S. Lake Ave., Suite 312  
Pasadena, CA 91101  
August 15, 2001

Commissioner:

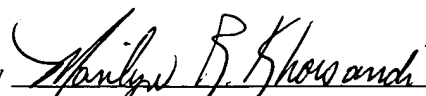
In response to the Notice to File Missing Parts of Application dated July 31, 2001, enclosed are:

1. Copy of Notice to File Missing Parts of Application.
2. Check for **\$1668.00**, including the filing fee (\$1603.00) and surcharge (\$65.00) for a small entity.
3. Executed Declaration and Power of Attorney.
4. Request for Corrected Filing Receipt w/copy of Filing Receipt noting error.
5. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for \$40.00.

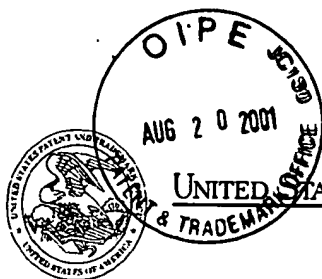
The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 501574. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Respectfully submitted,

KHORSANDI PATENT LAW GROUP, ALC

By   
Marilyn R. Khorsandi  
Reg. No. 45,744  
626/796-2856

MRK/crb  
Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/866,544	05/25/2001	Brent C. Abraham	PELK0002/MRK

CONFIRMATION NO. 4820

## FORMALITIES LETTER



\*OC000000006365518\*

KHORSANDI PATENT LAW GROUP, ALC  
140 S. Lake, Suite 312  
Pasadena, CA 91101-4710

Date Mailed: 07/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1248.
  - \$1008 for 112 total claims over 20.
  - \$240 for 6 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1668.

*A copy of this notice **MUST** be returned with the reply.*

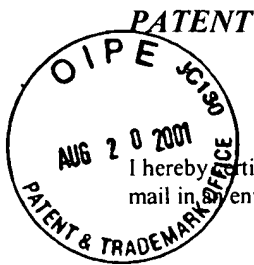
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/22/2001 BMSUYEH1 00000003 09866544

01 FC:201  
02 FC:203  
03 FC:202  
04 FC:205

355.00  
1008.00  
240.00  
65.00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Marilyn R. Khorsandi  
Marilyn R. Khorsandi

In re application of : Brent C. Abrahm  
Title : Apparatus, Systems and Methods for Transacting and Managing  
Like-Kind Exchanges

Application No. : 09/866,544  
Filed : May 25, 2001

Examiner : Not yet assigned  
Group No. : 2164

Assistant Commissioner for Patents  
Office of Initial Patent Examination's  
Customer Service Center  
Washington, D.C. 20231

August 15, 2001

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt in the above-identified application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following, which is incorrectly entered.

**Error In:**

Domestic Priority data as claimed by applicant

**Correct Data:**

THIS APPLN CLAIMS BENEFIT OF 60/206,942 05/25/2000  
AND CLAIMS BENEFIT OF 60/217,234 07/10/2000  
AND CLAIMS BENEFIT OF 60/220,892 07/26/2000  
AND CLAIMS BENEFIT OF 60/237,935 10/03/2000

3. The correction is not due to any error by applicant and no fee is due.

August 15, 2001  
Date

Respectfully submitted,

Marilyn R. Khorsandi  
Marilyn R. Khorsandi, Reg. No. 45744  
Attorney for Applicant

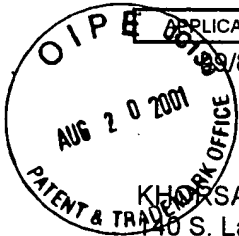
Khorsandi Patent Law Group, ALC  
140 South Lake Avenue, Suite 312  
Pasadena, CA 91101  
U.S.A. (626) 796-2856

RECEIPT \$



## UNITED STATES PATENT AND TRADEMARK OFFICE

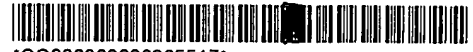
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/866,544	05/25/2001	2164	0.00	PELK0002/MRK	63	132	9

CONFIRMATION NO. 4820

## FILING RECEIPT



\*OC00000006365517\*

KHORSANDI PATENT LAW GROUP, ALC  
140 S. Lake, Suite 312  
Pasadena, CA 91101-4710

Date Mailed: 07/31/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Brent C. Abraham, Evergreen, CO;  
Todd Fisher, Boulder, CO;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/206,942 05/25/2000  
~~WHICH~~ CLAIMS BENEFIT OF 60/217,234 07/10/2000  
~~WHICH~~ CLAIMS BENEFIT OF 60/220,892 07/26/2000  
~~WHICH~~ CLAIMS BENEFIT OF 60/237,935 10/03/2000

## Foreign Applications

If Required, Foreign Filing License Granted 07/30/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Apparatus, systems and methods for transacting and managing like-kind exchanges

## Preliminary Class

Change "WHICH"  
to  
-- AND --

705

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Data entry by : POINGUE, EDWARD

Team : OIPE

Date: 07/31/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231